30x PCT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

dernational Application No.:

PCT/NO00/00200

International Filing Date:

8 June 2000

Applicants:

Geir Olve Skeie et al.

Serial No:

10/009.013

TITLE:

**Detection of Ryanodione Receptor Antibodies** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 2213-1450

## RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Sir:

In response to the Notification of Defective Response dated October 22, 2004 enclosed are the following:

Copy of Notification of Defective Response

A substitute computer readable form of the "Sequence Listing"

A diskette of the "Sequence Listing"

An Amendment directing entry of the substitute paper copy of the "Sequence Listing" into the specification.

A statement that the contents of the paper copy of the Sequence Listing contains a correction of <213> and otherwise includes no new matter.

#### FIRST CLASS CERTIFICATE

I hereby certify that this correspondence is being deposited today with the U.S. Postal Service as First Class Mail in an envelope addressed to:

**Commissioner for Patents** 

P.O. Box 1450

Alexandria, VA 22313-1450

∕Francis C. Hand, Ésq.

Respectfully submitted,

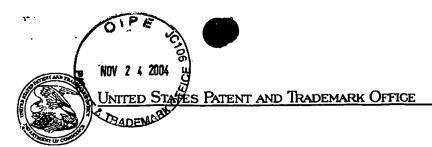
Éráncis C. Hand Reg. No. 22,280

CARELLA, BYRNE BAIN, GILFILLAN, **CECCHI, STEWART & OLSTEIN** 

Five Becker Farm Road Roseland, NJ 07068

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239616



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.
10/009,013 Geir Olve Skeie 53550.40

INTERNATIONAL APPLICATION NO.

PCT/NO00/00200

Francis C Hand Carella Byme Gilfillan Cecchi Stewart & Olstein 6 Becker Farm Roseland, NJ 07068 I.A. FILING DATE PRIORITY DATE 06/08/2000 06/08/1999

CONFIRMATION NO. 2173
371 FORMALITIES LETTER

\*OC00000014167868\*

Date Mailed: 10/22/2004

#### NOTIFICATION OF DEFECTIVE RESPONSE

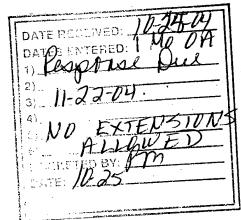
The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 12/06/2001
- Copy of the International Search Report filed on 12/06/2001
- Copy of IPE Report filed on 12/06/2001
- Biochemical Sequence Diskette filed on 06/17/2002
- Oath or Declaration filed on 08/19/2004
- Biochemical Sequence Listing filed on 08/19/2004
- Request for Immediate Examination filed on 12/06/2001
- Copy of references cited in ISR filed on 12/06/2001
- U.S. Basic National Fees filed on 12/06/2001
- Priority Documents filed on 12/06/2001

Applicant's response filed 08/19/2004 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 04/22/2004 have not been completed.

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of Annex C of the Administrative Instructions and 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.





- A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentln Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### KAREN M WILLIAMS

Telephone: (703) 305-3688

#### PART 1 - ATTORNEY/APPLICANT COPY

			<u> </u>
	U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
•	10/009,013	PCT/NO00/00200	53550.40

FORM PCT/DO/EO/916 (371 Formalities Notice)



# RAW SEQUENCE LISTING ERROR REPORT

DT03 Rec'd PCT/PTO 2.4 NOV 2004

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	10/009,013B
Source:	18/10
Date Processed by STIC:	9/13/04
•	<del></del>

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.2 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 06/05/04):
   U.S. Patent and Trademark Office, 220 20<sup>th</sup> Street S., Customer Window, Mail Stop Sequence, Crystal Plaza Two, Lobby, Room 1B03, Arlington, VA 22202

Revised 05/17/04

## Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 10/009,013B	
ATTN: NEW RULES CASES	PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE	
Wrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."	
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.	
3Misaligned Amino Numbering	The numbering under each 5 <sup>th</sup> amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.	
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.	
5Variable Length	Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Piease present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.	
6Patentln 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.	
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped	
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.	
8Skipped Sequences (NEW RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000	
9Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing.  Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.	
10 Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species) <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence	
Use of <220>	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses.  Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)	7
12Patentin 2.0 "bug"	Please do not use "Copy to Disk" function of Patentln version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.	
13 Misuse of n/Xaa	"n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid	

AMC - Biotechnology Systems Branch - 09/09/2003



PCT

RAW SEQUENCE LISTING

DATE: 09/13/2004 PATENT APPLICATION: US/10/009,013B TIME: 09:43:16

Input Set : A:\PCT,NO00,00200.ST25.txt Output Set: N:\CRF4\09132004\J009013B.raw

```
3 <110> APPLICANT: Skeie, Geir Olve
     5 <120> TITLE OF INVENTION: Detection of Ryanodione Receptor Antibodies
      7 <130> FILE REFERENCE: PCT/NO00/00200
     9 <140> CURRENT APPLICATION NUMBER: US 10/009,013B
                                                                  Does Not Comply
C--> 10 <141> CURRENT FILING DATE: 2001-12-06
     12 <160> NUMBER OF SEQ ID NOS: 2
    14 <170> SOFTWARE: PatentIn version 3.3
                                                                  Corrected Diskette Needer
    16 <210> SEQ ID NO: 1
                          see Frem 10 on Even Summer
    17 <211> LENGTH: 374
    18 <212> TYPE: PRT
    19 <213> ORGANISM: (Protein for the detection of ryanodione receptor antibodies
    21 <400> SEQUENCE: 1
    23 Glu Phe Lys Phe Leu Pro Pro Pro Gly Tyr Ala Pro Cys His Glu Ala
    27 Val Leu Pro Arg Glu Arg Leu Arg Leu Glu Pro Ile Lys Glu Tyr Arg
                   20
    31 Arg Glu Gly Pro Arg Gly Pro His Leu Val Gly Pro Ser Arg Cys Leu
                                   40
    35 Ser His Thr Asp Phe Val Pro Cys Pro Val Asp Thr Val Gln Ile Val
    39 Leu Pro Pro His Leu Glu Arg Ile Arg Glu Lys Leu Ala Glu Asn Ile
                           70
    43 His Glu Leu Trp Ala Leu Thr Arg Ile Glu Gln Gly Trp Thr Tyr Gly
                                            90
    47 Pro Val Arg Asp Asp Asn Lys Arg Leu His Pro Cys Leu Val Asn Phe
                                       105
                   100
    51 His Ser Leu Pro Glu Pro Glu Arg Asn Tyr Asn Leu Gln Met Ser Gly
                                   120
               115
    52
    55 Glu Thr Leu Lys Thr Leu Leu Ala Leu Gly Cys His Val Gly Met Ala
                               135
    59 Asp Glu Lys Ala Glu Asp Asn Leu Lys Lys Thr Lys Leu Pro Lys Thr
                           150
    63 Tyr Met Met Ser Asn Gly Tyr Lys Pro Ala Pro Leu Asp Leu Ser His
                       165
                                           170
    67 Val Arg Leu Thr Pro Ala Gln Thr Thr Leu Val Asp Arg Leu Ala Glu
                                       185
                   180
    71 Asn Gly His Asn Val Trp Ala Arg Asp Arg Val Ala Gln Gly Trp Ser
                                   200
               195
    75 Tyr Ser Ala Val Gln Asp Ile Pro Ala Arg Arg Asn Pro Arg Leu Val
                                                   220
                             215
    79 Pro Tyr Arg Leu Leu Asp Glu Ala Thr Lys Arg Ser Asn Arg Asp Ser
                                               235
    83 Leu Cys Gln Ala Val Arg Thr Leu Leu Gly Tyr Gly Tyr Asn Ile Glu
```



RAW SEQUENCE LISTING
PATENT APPLICATION: US/10/009,013B

DATE: 09/13/2004 TIME: 09:43:16

Input Set : A:\PCT,NO00,00200.ST25.txt
Output Set: N:\CRF4\09132004\J009013B.raw

84	245	. 250	255
87 Pro Pro Asp Gln	Glu Pro Ser Gli	n Val Glu Asn Gln	Ser Arg Trp Asp
88 260	•	265	270
91 Arg Val Arg Ile	Phe Arg Ala Glu	ı Lys Ser Tyr Thr	Val Gln Ser Gly
92 275	280	0	285
95 Arg Trp Tyr Phe	Glu Phe Glu Ala	a Val Thr Thr Gly	Glu Met Arg Val
96 290	295	300	
99 Gly Trp Ala Arg	Pro Glu Leu Arg	g Pro Asp Val Glu	Leu Gly Ala Asp
100 305	310	315	320
103 Glu Leu Ala Tyr	Val Phe Asn Gl	ly His Arg Gly Glm	Arg Trp His Leu
104	325	330	335
107 Gly Ser Glu Pro	Phe Gly Arg Pr	o Trp Gln Ser Gly	Asp Val Val Gly
108 340		345	350 ·
111 Cys Met Ile Asp	Leu Thr Glu As	on Thr Ile Ile Phe	Thr Leu Asn Gly
112 355	36		365
115 Glu Val Leu Met	Ser Asp		
116 370		S. 1.	
119 <210> SEQ ID NO	: 2 <u>sel i</u>	ten: 10	•
120 <211> LENGTH: 3	48	<i></i>	
121 <212> TYPE: PRT		·	
122 <213> ORGANISM:	Protein for th	ne detection of ry	anodione receptor antibodies
124 <400> SEQUENCE:			
126 Arg Gly Arg Ser		a Gln Arg Asp Val	Ile Glu Asp Cys
127 1	5	10	15
130 Leu Met Ala Leu	Cys Arg Tyr Il	e Arg Pro Ser Met	Leu Gln His Leu
131 20		2.5	30
134 Leu Arg Arg Leu	Val Phe Asp Va	l Pro Ile Leu Asn	Glu Phe Ala Lys
135 35	40		45
138 Met Pro Leu Lys	Leu Leu Thr As	n His Tyr Glu Arg	Cys Trp Lys Tyr
139 50	55	60	
142 Tyr Cys Leu Pro	Thr Gly Trp Al	a Asn Phe Gly Val	Thr Ser Glu Glu
143 65	70	75	80 .
146 Glu Leu His Leu	Thr Arg Lys Le	u Phe Trp Gly Ile	Phe Asp Ser Leu
147	85	90	95
150 Ala His Lys Lys	Tyr Asp Gln Gl	u Leu Tyr Arg Met	Ala Met Pro Cys
151 100	-	105	110
154 Leu Cys Ala Ile	Ala Gly Ala Le	u Pro Pro Asp Tyr	Val Asp Ala Ser
155 115	12		125
158 Tyr Ser Ser Lys	Ala Glu Lys Ly	s Ala Thr Val Asp	Ala Glu Gly Asn
159 130	135	140	•
162 Phe Asp Pro Arg	Pro Val Glu Th	r Leu Asn Val Ile	Ile Pro Glu Lys
163 145	150	155	160
166 Leu Asp Ser Phe	Ile Asn Lys Pho	e Ala Glu Tyr Thr	His Glu Lys Trp
167	165	170	175
170 Ala Phe Asp Lys	Ile Gln Asn Ası	n Trp Ser Tyr Gly	Glu Asn Val Asp
171 180		185	190
174 Glu Glu Leu Lys	Thr His Pro Met	t Leu Arg Pro Tyr	Lys Thr Phe Ser
175 195	200		205
178 Glu Lys Asp Lys	Glu Ile Tyr Arc	Trp Pro Ile Lys	Glu Ser Leu Lys
			•



RAW SEQUENCE LISTING
PATENT APPLICATION: US/10/009,013B

DATE: 09/13/2004

TIME: 09:43:16

Input Set : A:\PCT,N000,00200.ST25.txt
Output Set: N:\CRF4\09132004\J009013B.raw

179		210					215					220				
182	Ala	Met	Ile	Ala	Trp	Glu	Trp	Thr	Ile	Glu	Lys	Ala	Arg	Glu	Gly	Glu
	225		,	•		230					235					240
186	Glu	Glu	Arg	Thr	Glu	Lys	Lys	Lys	Thr	Arg	Lys	Ile	Ser	Gln	Thr	Ala
187					245					250					255	
190	Gln	Thr	Tyr	Asp	Pro	Arg	Glu	Gly	Tyr	Asn	Pro	Gln	Pro	Pro	Asp	Leu
191				260					265					270		
194	Ser	Gly	Val	Thr	Leu	Ser	Arg	Glu	Leu	Gln	Ala	Met	Ala	Glu	Gln	Leu
195		-	275					280					285			
198	Ala	Glu	Asn	Tyr	His	Asn	Thr	Trp	Gly	Arg	Lys	Lys	Lys	Gln	Glu	Leu
199		290					295					300				
202	Glu	Ala	Lys	Gly	Gly	Gly	Thr	His	Pro	Leu	Leu	Val	Pro	Tyr	Asp	Thr
203	305					310					315					320
206	Leu	Thr	Ala	Lys	Glu	Lys	Ala	Arg	Asp	Arg	Glu	Lys	Alā	Gln	Glu	Leu
207		•			325					330					335	
210	Leu	Lys	Phe	Leu	Gln	Met	Asn	Gly	Tyr	Ala	Val	Thr				
211				340					345							



DATE: 09/13/2004

TIME: 09:43:17

PATENT APPLICATION: US/10/009,013B

Input Set : A:\PCT,NO00,00200.ST25.txt
Output Set: N:\CRF4\09132004\J009013B.raw

L:10 M:271 C: Current Filing Date differs, Replaced Current Filing Date